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OJ 650-833-2033  
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FAX TRANSMISSION COVER SHEET

May 20, 2004

To: Telephone: Fax Number:  
U.S. Patent and Trademark Office 1-703-308-6280 1-703-746-5423  
Attn.: Examiner Holmes

From: Timothy W. Lehse Attorney Docket Number: 1040659-991101  
650-833-2055

Re: U.S. Patent Application:  
Serial No.: 09/805,646 Filing Date: March 13, 2001  
Examiner: Michael B. Holmes Art Unit: 2121  
Title: AUTOMATED PROCESS GUIDANCE SYSTEM

Pages: - 19 - (including this form) Originals: ☐ will be mailed ☒ will not be mailed  
If there is a problem with this transmission, please call (850) 833-1548  
Fax Operator/Ext.

Message:

Attached are a cover letter from Timothy Lehse and copies of the following documents:

1. Transmittal Form;
2. Fee Transmittal;
3. Response to Office Action;
4. Information Disclosure Statement;
5. Check; and
6. Stamped return postcard listing the above documents;

I hereby certify that this correspondence is being facsimile transmitted to the United States  
Patent and Trademark Office, Attention Examiner Holmes, at Fax No. 703-746-5423 on May 20, 2004

*Cathy Pliska*  
Cathy Pliska

CONFIDENTIALITY NOTICE

This communication is ONLY for the person named above. Unless otherwise indicated, it contains information that is confidential, privileged or exempt from disclosure under applicable law. If you are not the person named above, or responsible for delivering it to that person, be aware that disclosure, copying, distribution or use of this communication is strictly PROHIBITED. If you have received it in error, or are uncertain as to its proper handling, please immediately notify us by collect telephone and mail the original to us at the above address. Thank you.

(Form Rev. 8/5/00)

Gray Cary Ware & Freidenrich LLP

PAGE 1/16 RCVD AT 11/20/2004 2:00:18 PM [Eastern Daylight Time] \* SVR:USPTO-EFXXF-1/2 \* DNS:7465423 \* CSID:650 833 2001 \* DURATION (mm:ss):05:58

PAGE 17/22 RCVD AT 2/11/2005 2:29:29 PM [Eastern Standard Time] \* SVR:USPTO-EFXXF-1/4 \* DNS:8729306 \* CSID:215 665 2013 \* DURATION (mm:ss):06:10

## GRAYCARY

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OJ 650-833-2055  
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May 20, 2004  
VIA FACSIMILE - 703-746-5423

OUR FILE NO. 1040859-091101

Examiner Holmes  
United States Patent and Trademark Office

Re: Submission of Response to Office action dated August 26, 2003  
U.S. Patent Application Serial No. 09/805,646

Dear Examiner Holmes:

Per your request, attached are true and correct copies of the following documents that were submitted by myself on November 26, 2003 via first class mail in response to an office action mailed on August 26, 2003:

- 1) a transmittal form (with a signed certificate of first class mailing);
- 2) a fee transmittal;
- 3) a response to the office action (with a signed certificate of first class mailing);
- 4) an Information Disclosure Statement (with a signed certificate of first class mailing);
- 5) a check in the amount of \$180; and
- 6) a return postcard listing the above documents and stamped by the US Patent Office indicating receipt of the above documents on December 1, 2003.

Very truly yours,

Gray Cary Ware & Freidenrich LLP



Timothy W. Lohse  
tlohse@graycary.com

TWL:twl  
Enclosures  
Gray Cary/EAM/168341.1  
1040859-091101

AUSTIN LA JOLLA SACRAMENTO SAN DIEGO SAN FRANCISCO SEATTLE SILICON VALLEY WASHINGTON, DC

PAGE 219 \* RCVD AT 5/20/2004 2:00:10 PM (Eastern Daylight Time) \* SVR:USPTO-EFXRF-2/2 \* DNS:7465422 \* CSID:650 833 2001 \* DURATION (mm:ss):05:46

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U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b> <small>(to be used for all correspondence after initial filing)</small>	Application Number	08/805,846
	Filing Date	March 13, 2001
	First Named Inventor	Mark Grimsa et al.
	Art	2121
	Examiner	Michael B. Holmes
Total Number of Pages in This Submission	Attorney Docket Number	1040859-991101

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavit/Declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below): 13 References Return Postcard
Remarks The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 07-1896. A duplicate copy of this sheet is enclosed. Customer No. 26379		
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm or Individual	Timothy W. Lohse, Reg. No. 36,255 Gray Cary Ware & Freidenreich LLP	
Signature	<i>Timothy W. Lohse</i>	
Date	November 28, 2003	

CERTIFICATE OF TRANSMISSION/MAILING		
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.		
Typed or printed	Kathleen LaBrie	
Signature	<i>Kathleen LaBrie</i>	Date November 28, 2003

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to the first by the USPTO to process the application. Confidentiality is governed by 39 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 15 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the accuracy of this time require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. Do NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing this form, call 1-800-PTO-8188 (1-800-786-9188) and select option 2.

PAGE 3/19 \* RCVD AT 5/20/2014 2:04:18 PM [Eastern Daylight Time] \* SVR:USPTO-EF-XRF-2/2 \* DNIS:74654231 \* CSID:950 833 2001 \* DURATION (mm:ss):05:56

PAGE 19/22 \* RCVD AT 2/11/2005 2:29:29 PM [Eastern Standard Time] \* SVR:USPTO-EF-XRF-1/4 \* DNIS:8729306 \* CSID:215 665 2013 \* DURATION (mm:ss):06:10

05/20/04 10:58 FAX 850 837 01

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# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003, Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (F) 180.00

## Complete if Known

Application Number 09/805,846  
 Filing Date March 13, 2001  
 First Named Inventor Mark Grimsa et al.  
 Examiner Name Michael B. Holmes  
 Art Unit 2121  
 Attorney Docket No. 1040659-991101

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## METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number 07-1896  
 Deposit Account Name Gray Cary Ware & Freidenrich LLP

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) or any overpayment of fee(s)☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Code (F)	Small Entity Code (S)	Fee Paid
1001 770	2001 385	Utility filing fee
1002 540	2002 170	Design filing fee
1003 530	2003 265	Plant filing fee
1004 170	2004 265	Reissue filing fee
1005 180	2005 60	Provisional filing fee
SUBTOTAL (F) (S)		0.00

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
9	20* = 0	X	0.00
Independent Claims	3	5** = 0	X
Multiple Dependent			
Large Entity Code (F)	Small Entity Code (S)	Fee Description	Fee Paid
1202 18	2202 0	Claims in excess of 20	
1201 88	2201 43	Independent claims in excess of 3	
1203 200	2203 145	Multiple dependent claims, if not paid	
1204 38	2204 43	Reissue independent claims over original patent	
1205 18	2205 0	Reissue claims in excess of 20 and over original patent	
SUBTOTAL (F) (S)		0.00	

\*or number previously paid, if greater; For Reissuers, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity Code (F)	Small Entity Code (S)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or credit	
1052 58	2052 25	Surcharge - late provisioned filing fee or credit	
1053 130	2053 130	Non-English specification	
1812 2,620	1812 2,620	For filing a request for an oral examination	
1804 820*	1804 820*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 420	2252 210	Extension for reply within second month	
1253 650	2253 475	Extension for reply within third month	
1254 1,480	2254 740	Extension for reply within fourth month	
1255 2,010	2255 1,005	Extension for reply within fifth month	
1401 330	2401 165	Notice of Appeal	
1402 330	2402 165	Filing a brief in support of an appeal	
1403 208	2403 145	Request for oral hearing	
1451 1,510	1451 1,010	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unworkable	
1453 1,330	2453 665	Petition to revive - unintentional	
1501 1,330	2501 665	Utility issue fee (or refund)	
1502 480	2502 240	Design issue fee	
1503 840	2503 320	Plant issue fee	
1409 130	1409 130	Petitions to the Commissioner	
1507 50	1507 50	Processing fee under 37 CFR 1.17(i)	
1809 160	1809 160	Submission of information Disclosure Sheet	
8021 40	8021 40	Recording each patent assignment per property (three number of properties)	160.00
1008 770	2008 385	Filing a submission after final rejection (37 CFR 1.128(d))	
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.128(d))	
1801 770	2801 385	Request for Continued Examination (RCE)	
1002 208	1002 208	Request for continued examination of a design application	
SUBTOTAL (F) (S)		180.00	

\*Reduced by Basic Filing Fee Paid

## SUBMITTED BY

Name (Print/Type)

Timothy W. Lohse

Signature

[Signature]

Registration No.

35,256

Attorney Report

## Complete if Applicable

Telephone 650-833-2000

Date November 28, 2003

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to be paid by the USPTO in process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 15 minutes to complete, including searching, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22303-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.

PAGE 419 \* RCVD AT 3/20/2004 2:08:18 PM [Eastern Daylight Time] \* SVR:USPTO-EF-XRF-22 \* DNS:7154423 \* CSID:650 833 2001 \* DURATION (mm:ss) 05:45



Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22113-1450

Mailing Date: November 26, 2001  
U.S. Serial No.: 09/005,646  
Filing Date: March 13, 2001  
Title: Automated Process Guidance System And Method

Enclosed are:

- 1) Transmittal Form (in duplicate);
- 2) Fee Transmittal (in duplicate);
- 3) Response to Office Action;
- 4) Information Disclosure Statement w/ PTO Form/SDs & b;
- 5) Thirteen (13) references;
- 6) Certificate of first class mailing;
- 7) Return Postcard.

1040659-991101 (Kadri Inc.)  
TWL/12074/1d

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22113-1450

Mailing Date: November 26, 2003  
U.S. Serial No.: 09/005,646  
Filing Date: March 13, 2001  
Title: Automated Process Guidance System And Method

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- 5) Thirteen (13) references;
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1040659-991101 (Kadri Inc.)  
TWL/12074/1d



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